

ENCQOR- Technology Development (SME) Program

Contents

Program Information and Eligibility Requirements	2
Program Overview and Benefits	2
How Funding Works	3
Eligibility Requirements	3
Applicant	3
ENCQOR 5G Anchor Firm Partner	4
Project	4
Intern (Optional):	4
How to Apply	5
Assessment Process and Criteria	7
Step 1: EOI process	7
Step 2: OCI full application - Invitation Only:	7
Flow of Funds and Project Administration	8
Project Activation	8
Anchor Firm Agreement	8
OCI/ENCQOR Funding Agreement	9
Flow of Funds	9
Use of Funds	10
Project Reporting Requirements	10
Interim Progress and Claims Report(s)	10
Final Reports	10
Project Metrics	11
Retrospective Survey	11
Project Changes	11
Contacts	11

Program Information and Eligibility Requirements

Program Overview and Benefits

The Technology Development (SME) Program partners Ontario-based Small- and Medium-Sized Enterprises (SMEs) with **ENCQOR 5G Anchor Firms** on 5G technology development projects. Areas of technology interest are defined in Challenge Statements submitted to OCI by the ENCQOR 5G Anchor Firms and posted on a rolling basis.

To be notified of Technology Development Program (both SME and Academic streams) Challenge Statements as they launch sign up for the **ENCQOR 5G Ontario mailing list**.

The Technology Development (SME) Program supports collaborative technology development projects to develop, integrate, test or validate pre-commercial technologies for the Innovation Platform as Service (iPaaS) testbed.

The Technology Development (SME) Program is delivered by OCI in partnership with Innovation ENCQOR on behalf of the Ontario Ministry of Economic Development, Job Creation and Trade and the Federal Government through Innovation, Science and Economic Development Canada.

Benefits to ENCQOR 5G Anchor Firms	Benefits to Ontario SMEs
<ul style="list-style-type: none"> • Develop relationships with Ontario SMEs to support iPaaS and 5G technology development • Partner with SMEs to create marketable solutions to current industry challenges • Develop a supply chain of Ontario based SME technology providers • Increase productivity, revenue and jobs 	<ul style="list-style-type: none"> • Access exciting 5G connectivity development challenges with market pull • Establish relationships with ENCQOR 5G Anchor firm companies- global market leaders in the sector • Scale and commercialize 5G connectivity solutions/products/services • Gain access to OCI’s industry and entrepreneurial networks

How Funding Works

Program Contribution	100% of total eligible project costs , maximum to be defined in the posted Challenge Statement
Industry Partner Contribution	NA
Example	--
Funding Recipient	Ontario-based SME
Institutional Overhead	NA
ENCQOR 5G TalentEdge Internships	Applicants may select to apply for additional funding to support an intern as part of the project. Full detail about OCI’s TalentEdge Program and Intern Eligibility can be found in the program guidelines.

Eligibility Requirements

Applicant

- An Innovation-Driven Enterprise (IDE), using or developing technology innovation or innovative processes for competitive advantage in provincial, national and/or global markets
- For-profit, incorporated in Ontario or Canada, and has a valid CRA business number
- A small-to-medium size enterprise (SME - less than 500 employees in Ontario)
- Has operations with R&D and/or manufacturing in Ontario related to the project
- Has 50 per cent or more of its full-time employees located as their ordinary place of work in Ontario
- The applicant must have a validated, innovative product/service or technology that can be a solution to the Technology Development Challenge for which they are applying
- The applicant must have an arms-length relationship with the ENCQOR 5G Anchor Firm Partner defined in the Technology Development Challenge Statement for which they are applying
- Applicants must be in good financial and reporting standing with OCI
- Companies with over \$500,000 of OCI funding in their lifetime (inclusive of current application), will be required to obtain OCI approval before a new application for funding is initiated.
- Applicants must be willing to co-operate in providing project and outcomes metrics
- Applicants must be willing to execute a non-disclosure agreement (NDA) with the ENCQOR 5G Anchor Firm Partner defined in the Technology Development Challenge Statement for which they are applying
- Applicants must be willing to undergo the procurement process of the ENCQOR 5G Anchor Firm Partner defined in the ENCQOR 5G Technology Development Challenge Statement for which they are applying
- Applicants must be willing to enter into a funding agreement with OCI and Innovation ENCQOR
- Applicants must be willing to enter into a separate professional services agreement with the ENCQOR 5G Anchor Firm Partner defined in the Technology Development Challenge Statement for which they are applying
- Additional Applicant eligibility requirements may vary by Challenge Statement.

ENCQOR 5G Anchor Firm Partner

- Project Partners must be one of the Ontario [ENCQOR 5G Anchor Firms](#) (Ciena, Ericsson, Thales)
- Partners are defined in the Technology Development Challenge Statement

Project

- Projects must be developed in response to an active Technology Development Challenge Statement
- At the full application stage the application must be developed in partnership with the ENCQOR 5G Anchor Firm
- Areas of technology interest and expected project outcomes will be defined in the Technology Development Challenge Statement
- Projects must be between 12-24 months in duration. Expected project timeline will be defined in the Technology Development Challenge Statement.
- All Technology Development Challenge Statements and projects developed in response to these Challenge Statements must drive the development, integration, testing or validation of pre-commercial technologies with a link to the Innovation Platform as Service (iPaaS) testbed.
- Project activities may vary from R&D to later stage validation- expected technology readiness level of the solution technology may vary by Technology Development Challenge Statement but must be within TRL levels 1-7.
- Projects should show a clear fit with the industry priorities outlined in the Technology Development Challenge Statement and show strong potential for commercial impact for the applicant and the ENCQOR 5G Anchor Firm Partner.
- The applicant and/or ENCQOR 5G Anchor Firm Partner must be able to utilize the results of the project for the economic, environmental or social benefit of Ontario. This includes direct economic benefit (e.g., new jobs, increased revenue, increased productivity, cost savings), and/or indirect economic benefit to other members of the value chain, partners, or industry in Ontario (e.g. job creation, capacity building, strategic benefit). Contribution to the training and industry exposure of Highly Qualified Personnel (HQP) at the company is also a desired element.
- Intellectual Property (IP) arising from the project will be managed by the project participants as OCI does not claim or manage IP rights. Successful applicants and the ENCQOR 5G Anchor Firm(s) must execute OCI's funding agreement, including an executed Schedule D, Intellectual Property Term Sheet (for example, in the form of a Collaborative Research Agreement), within 30 days of notification of approval.

Intern (Optional):

- Applicants may select to apply for funding to support an intern as part of the project.
- Full detail about OCI's TalentEdge Program and Intern Eligibility can be found in the [program guidelines](#)

How to Apply

Program Status: ✓ [Open for Applications](#) - [See a list of current challenge statements](#)

Expressions of Interest (EOIs) may be submitted in response to an active Technology Development Challenge Statement. Challenge Statements will be posted on a rolling basis on the OCI website.

To stay up to date with active Technology Development (SME) Program Challenge Statements, please sign up for the [ENCQOR 5G Ontario mailing list](#) or follow ENCQOR Ontario on [Twitter](#).

EOI Deadlines will vary by Technology Development Challenge Statement and will be posted at the time that the Challenge Statement is released. Challenge Statements will be posted to the OCI website for a minimum of four (4) weeks.

1. If you are ready to start an application for the Technology Development Program, the applicant (from the eligible Ontario-based SME) should click the button below to start the application process. Please note: completion of this form does not constitute an application for funding. An OCI Business Development Manager (BD) will contact you within two business days to discuss the opportunity. If you are already working with an OCI BD, please indicate this in the form.
2. Once the BD confirms that the opportunity is suitable for the program, you will be sent a link to OCI's online application system, AccessOCI.
3. Complete the online Expression of Interest forms on AccessOCI. If you have any questions about the Challenge Statement content or require clarification please reach out directly to Natalia Lobo (NLobo@oc-innovation.ca) and a response will be obtained from the program contacts at the ENCQOR 5G Anchor Firm.

For assistance, please see the following resources:

- [Sample EOI application form](#) (for reference purposes only)
 - [OCI's Knowledge Base \(Frequently Asked Questions\)](#)
4. All submitted EOIs will undergo an initial internal review by OCI for eligibility and application completeness. At this stage applications may be returned to the applicant to correct or clarify eligibility criteria.
 5. EOIs will be reviewed by the ENCQOR 5G anchor firm for fit against the Challenge Statement they developed. These reviews are submitted to OCI to finalize the application review. The OCI Internal Review Panel makes the final decision on projects to be invited to submit a full application. This selection process will take approximately two (2) weeks from the Challenge Statement submission deadline.
 6. At the time of notification of invitation to develop a full application, successful applicants will gain access to the full application module in AccessOCI to complete their full application.
Applicants will have approximately four (4) weeks to complete their full application for OCI.
 - At the time of invitation to develop a full application an introduction will be made to team at the ENCQOR 5G Anchor Firm that posted the Challenge Statement and key

contacts will be added to the application module to allow access to the application content.

- Applicants will be invited to execute a non-disclosure agreement (NDA) with the ENCQOR 5G Anchor firm. This will allow the partners to share further detail about the challenge statement and project expectations.
- As part of the two-step agreement process (detailed on the 'Project Activation' tab) the applicant will be expected to develop a Statement of Work with the Anchor Firm partner including a detailed project plan and agreement on intellectual property terms. **All applicants are encouraged to start negotiation with the ENCQOR 5G anchor firm(s) regarding intellectual property (IP) terms soon after their invitation to develop a full application**
- At the full application stage the applicant will be required to provide a 'Letter of Interest' from their ENCQOR 5G Anchor Firm Partner as an attachment to the full application. This letter is a set template which confirms the Anchor Firms active engagement in application development and alignment with application content. A signed 'Letter of Interest' is a mandatory requirement for the full application to proceed to review.

7. Complete the online Full Application forms on AccessOCI.

Required supporting documents:

- Resumes/CVs from relevant team members
- Letter of Interest from the ENCQOR 5G Anchor Firm

For assistance, please see the following resources:

- Sample full application form (for reference purposes only)
- [OCI's Knowledge Base \(Frequently Asked Questions\)](#)

8. Request your OCI BD to provide an endorsement of the application. The endorsement must be requested at least one week prior to the Challenge Statement deadline. Once the BD endorsement is provided, a submission button will become visible and the applicant or BD will then be able to submit the application.

9. All submitted applications will undergo an initial internal review by OCI for eligibility, application completeness and eligible expenses. A list of any deficiencies will be sent to the applicant for revision.

10. Once the application is deemed complete, it will proceed for assessment of the full application.

Assessment Process and Criteria

The Technical Development (SME) Program is a discretionary, non-entitlement program with limited funding. All eligible projects are assessed on their merit and undergo a review process against program objectives and assessment criteria.

ENCQOR 5G Technology Development Program is a two-step application process including EOI and Full Application stages. Applicants must work with a Business Development Manager throughout the application process.

Step 1: EOI process

OCI will post ENCQOR 5G Technology Development Challenge Statements on a rolling basis. Applicants can apply for funding to execute a project in response to an active ENCQOR 5G Anchor Firm-defined Challenge Statement by submitting an EOI.

All submitted EOIs will undergo an initial internal review by OCI for eligibility and application completeness. Subsequently, EOIs will be reviewed by the ENCQOR 5G anchor firm(s) for fit with the Challenge Statement. Anchor Firms will submit their recommendations to OCI. The OCI Internal Review Panel will make the final decision of applications to be invited to submit a full application.

Preference will be given to EOIs that:

- Address ENCQOR 5G anchor firms' Challenge Statements and needs
- Demonstrate applicability and benefit to the specified 5G technology leading to novel, newly-adapted or adopted processes, products, or services that will keep the iPaaS testbed cutting edge
- Demonstrate innovation in the context of current technical and commercial developments
- Demonstrate appropriate skills, resources and methodology to complete the project
- Demonstrate an opportunity for close collaboration between the Applicant company and the ENCQOR 5G Anchor Firm
- Demonstrate opportunity for HQP training, including graduate students
- Are highly likely to be commercialized/implemented and generate economic benefit for Ontario
- Anticipate tangible deliverables in the form of new technologies, new processes, significant skills transfer, job creation, or other benefits to society.

Step 2: OCI full application - Invitation Only:

Selected EOI applicants will be invited to submit a full application. Full applications will undergo an internal review for completeness and eligible expenses. Following confirmation by OCI of application completeness applications will undergo review by OCI's Subject Matter Director.

Recommendations will be reviewed by OCI's Internal Review Panel, which will have responsibility for the final OCI funding decision.

Full applications will be evaluated on the basis of assessment criteria, which include, but are not limited to:

- Clarity of the significance of the opportunity and expected economic benefit to the Applicant Company, the ENCQOR 5G Anchor Firm Partner and Ontario (e.g. new revenues, job creation/retention, productivity improvements)
- Ability of the innovation to address the ENCQOR 5G Anchor Firm partner challenge
- Expertise and experience of the team members, clarity of roles and responsibilities, and evidence of collaboration
- Clarity, feasibility and appropriateness of the project plan and budget
- Evidence of next steps, and clear strategy and capacity to bring the results to market and/or implement at the company
- Overall quality and completeness of the application

Flow of Funds and Project Administration

Project Activation

After the full application is approved for funding by OCI the Applicant company will receive a notification letter from OCI outlining next steps and inviting them to execute two (2) agreements.

Anchor Firm Agreement

Prior to execution of the final funding agreement with OCI/Innovation ENCQOR the Applicant company must have an agreement with the ENCQOR 5G Anchor Firm. This agreement will define a detailed statement of work and formalize the terms of the collaboration between the Applicant company and Anchor Firm Partner.

As part of this process the applicant company will be expected to undergo a procurement process with the ENCQOR 5G Anchor Firm company. This process will vary by ENCQOR 5G Anchor Firm Partner and may vary by Challenge Statement. Requirements may include: a financial assessment of the applicant company, negotiations around intellectual property or other requirements as defined by the ENCQOR 5G Anchor Firm.

Applicant companies and ENCQOR 5G Anchor Firms are highly encouraged to start discussions on the Anchor Firm procurement process expectations as soon as possible after the applicant company has been invited to submit a full application. Early discussions will ensure that both parties are aware of the expectations and requirements for this process and help to accelerate the contracting process if the project is approved.

Applicant companies and ENCQOR 5G Anchor Firms are also encouraged to develop the Anchor Firm Professional Services Agreement Statement of Work (SOW) in parallel with the full application to ensure that there is consistency between the full application content and SOW deliverables.

OCI is not a signatory on the agreement between the ENCQOR 5G Anchor Firm and the Applicant Company and is not part of the procurement process or partnership negotiations.

When the agreement between the Applicant Company and the ENCQOR 5G Anchor Firm has been executed the Anchor Firm will provide documentation to OCI as confirmation. The Anchor Firm will provide a summary of the Statement of Work as well as a statement regarding the intellectual property

agreement between the ENCQOR 5G Anchor Firm and the Applicant Company to be incorporated as a schedule of OCI/ Innovation ENCQOR Funding agreement.

If the ENCQOR 5G Anchor Firm and the Applicant Company are unable to execute an agreement or if the Anchor Firm Agreement Process causes a significant delay in project activation OCI may consider not awarding the project.

OCI/ENCQOR Funding Agreement

When OCI receives confirmation that the Anchor Firm Professional Services Agreement has been executed the OCI/ Innovation ENCQOR Funding agreement will be generated. The OCI/Innovation ENCQOR funding agreement sets out the funding and reporting terms for the project.

A copy of the OCI/Innovation ENQCOR trilateral funding agreement is available for download. Applicant companies are encouraged to review this document early in the application process. OCI/Innovation ENCQOR will not negotiate or make changes to the funding agreement.

When all three parties execute the funding agreement the project will be activated and the project status will change in the AccessOCI system to 'Active'.

Flow of Funds

The Technology Development (SME) Program is funded by both the Ontario Ministry of Economic Development, Job Creation and Trade and the Federal Government through Innovation, Science and Economic Development Canada. Projects funded through the ENCQOR 5G Technology Development Program will receive funding from both levels of government through the claims and reimbursement process administered by OCI.

The ENCQOR 5G Technology Development Program does not advance project funds to companies upon activation.

Project funds will be disbursed based on Interim Progress and Claims Report(s) submitted to OCI at the following intervals:

Interim Progress and Claims Report 1	3 months after project activation date
Interim Progress and Claims Report 2	6 months after project activation date
Interim Progress and Claims Report 3	12 months after activation date
Interim Progress and Claims Report 4	18 months after activation date
Final report	Project end date

If a project timeline is shorter than two years the number of biannual interim progress and claims reports after Interim Progress and Claims Report 2 will be reduced to reflect the duration of the project timeline.

Reimbursement claims will include a report on project related expenses and supporting documentation. Project spending will be tracked and compared to the budget submitted with the full application. When a project is activated the Applicant Company will receive an orientation on claims reporting.

Use of Funds

Generally, OCI funds will support salaries and benefits of staff and contractors. Operating costs to achieve milestones are also allowed. Capital costs are not eligible expenses.

For a full list of eligible expenses please refer to the [eligible project expenditures document](#).

Project Reporting Requirements

The following project reports will be required.

Please note that to receive funding from OCI, the applicant MUST complete all required reports as a contractual obligation.

Interim Progress and Claims Report(s)

Interim report(s), including progress, proof of expenditures, financial attestation, and a request for reimbursement may be submitted three months after project start, six months after project start and every six months until the project end date.

Copies of detailed Reporting Instructions will be sent to successful applicants at the time of contracting. The report must be received and approved by OCI and Innovation ENCQOR prior to release of funds for eligible claims.

Note: Applicants MUST retain all proof of purchases, receipts, and other relevant documentation relating to eligible expenses. These should be included in the Interim Progress and Claim Report.

Final Reports

Forty-five (45) days prior to the scheduled completion date, the Applicant and industry partner will receive a notification of the project end date from OCI's AccessOCI system, with a link to the Final Project Report, should the Applicant or project partner wish to begin entering data. If an extension to complete the project is required, the Applicant must request it prior to the scheduled project completion date, using the Project Administration tab in their AccessOCI project file. A maximum extension of three months will be allowable.

On the scheduled project completion date, the Applicant and project partner will receive notification and a link to complete a Final Project Report (including progress, financials and metrics) from OCI's AccessOCI system. Both the Applicant and project partner reports must be received and approved by OCI within 30 days of project completion.

All required final reports by all partners must be submitted within 30 days of project completion in order to release the holdback and maintain good financial standing with OCI.

Project Metrics

If the project continues past a fiscal year end (March 31), the Applicant and project partner will be required to complete an annual Metrics survey each April, for the part of the project that has been completed since the previous March 31. This annual report is mandatory for OCI to fulfil its contract with its funding Ministries. Required metrics reports from all partners must be submitted in order to maintain good financial standing with OCI.

Retrospective Survey

At one, two, and three years after project completion, the project partner will be sent a link to complete a retrospective survey to collect data on commercial outcomes from the project. This information is required by OCI for assessment of program impact and continuous improvement.

Project Changes

The applicant must contact the Program Manager for any material change in the project, including, but not limited to, changes related to the project's partners, scope of work or eligible expenses, when they are identified.

For any variances to the budget of \$5,000 or greater, or extensions to the project end date, the applicant must complete a Project Change Request form prior to the project end date, using the Project Administration tab in their AccessOCI project file.

Contacts

[Search OCI's Knowledge Base of Frequently Asked Questions.](#)

For questions about the program, please contact:

Natalia Lobo

Program Manager

NLobo@oc-innovation.ca